

Image

2881

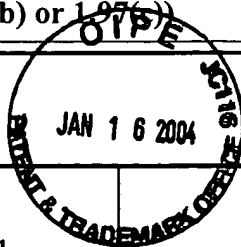
<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 03850/010001	
In Re Application Of: Oliver KIENZLE et al.				
Serial No. 09/934,262	Filing Date August 21, 2001	Examiner Kiet T. Nguyen		Group Art Unit 2881
Title: APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
37 CFR 1.97(b)				
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
03850/010001

In Re Application: Oliver KIENZLE et al.

Serial No.  
09/934,262Filing Date  
August 21, 2001Examiner  
Kiet T. NguyenGroup Art Unit  
2881**APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS  
OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: 1/13/04

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
03850/010001

In Re Application Of: Oliver KIENZLE et al.

Serial No.  
09/934,262

Filing Date  
August 21, 2001

Examiner  
Kiet T. Nguyen

Group Art Unit  
2881

Invention: **APPARATUS AND METHOD FOR EXPOSING A RADIATION SENSITIVE LAYER BY MEANS  
OF CHARGED PARTICLES AS WELL AS A MASK FOR THIS PURPOSE**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.


Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

 #45,079  
Signature

Dated: 1/13/04

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JAN 16 2004

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

<b>Docket Number (Optional)</b> 03850/010001	<b>Application Number</b> 09/934,262
<b>Applicant(s)</b> Oliver KIENZLE et al.	
<b>Filing Date</b> August 21, 2001	<b>Group Art Unit</b> 2881

**U.S. PATENT DOCUMENTS**

*EX INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,648,188	07/15/1997	Doran	430	22	06/07/1995
	A2	6,204,509	03/20/2001	Yahiro et al.	250	491.1	11/12/1998

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
A3	11-142121	05/28/1999	Japan	G01B	11/16		✓

**OTHER DOCUMENTS**    *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	A4	European Search Report dated December 29, 2003 (2 pages)
	A5	R. C. Farrow et al.; "Marks for Alignment and Registration in Projection Electron Lithography"; Journal of Vacuum Science & Technology B; Vol. 11, No. 6, Nov/Dec 1993; pp. 2175-2178

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.